

ICICI Prudential Mutual Fund

December 31, 2020

Rating:

Scheme	Scheme Type	Rating ¹	Rating
ICICI Prudential Capital Protection Oriented Fund XI Plan C	Close – Ended Capital Protection Oriented Fund	-	Withdrawn
ICICI Prudential Capital Protection Oriented Fund XI Plan D		-	
ICICI Prudential Capital Protection Oriented Fund XI Plan E		-	
ICICI Prudential Capital Protection Oriented Fund XII Plan A		-	

Details of instruments/facilities in Annexure-1

Detailed Rationale & Key Rating Drivers:

CARE, has withdrawn the rating assigned to the above mentioned Capital Protection Oriented Scheme managed by ICICI Prudential Asset Management Company Limited with immediate effect, at the request of the company since all the investors in the scheme which were launched have been repaid in full and there are no units outstanding under the scheme.

Analytical Approach: Not Applicable

Applicable Criteria:

[Policy on Withdrawal of ratings](#)

[Mutual Fund Ratings Capital Protection Oriented Schemes](#)

About ICICI Prudential AMC:

ICICI Prudential Asset Management Company Limited (ICICI Prudential AMC) is a joint venture between ICICI Bank one of India's largest commercial banks and Prudential PLC, an Asia-led portfolio of businesses focused on structural growth markets. ICICI Prudential AMC is registered with the SEBI to act as an investment manager for the schemes of ICICI Prudential Mutual Fund. Presently, ICICI Prudential AMC is one of India's largest fund house in terms of Assets under Management (AUM). The average assets under management of ICICI Prudential Asset Management Company Ltd for the quarter July – September 2020 stood at Rs.3,60,048.60 crore.

Status of Non Co-operation with previous CRA: Not Applicable

Any Other Information: Not Applicable

Rating History for last three years: Please refer Annexure – 2

Complexity level of various instruments rated for this company: Please refer Annexure - 3

Annexure-1: Details of Instruments/Facilities

Name of the Instrument	Date of Issuance	Coupon Rate	Maturity Date	Size of the Issue (Rs. crore)	Rating assigned along with Rating Outlook
ICICI Prudential Capital Protection Oriented Fund XI Plan C	-	-	-	-	Withdrawn
ICICI Prudential Capital Protection Oriented Fund XI Plan D	-	-	-	-	Withdrawn
ICICI Prudential Capital Protection Oriented Fund XI Plan E	-	-	-	-	Withdrawn
ICICI Prudential Capital Protection Oriented Fund XII Plan A	-	-	-	-	Withdrawn

¹Complete definitions of the ratings assigned are available at www.careratings.com and in other CARE publications.

Annexure-2: Rating History of last three years

Sr. No	Name of the Instrument / Bank Facilities	Current Ratings			Rating History			
		Type	Amount Outstanding (Rs. Crore)	Rating	Date(s) & Rating(s) assigned in 2020-21	Date(s) & Rating(s) assigned in 2019-20	Date(s) & Rating(s) assigned in 2018-19	Date(s) & Rating(s) assigned in 2017-18
1	ICICI Prudential Capital Protection Oriented Fund III Plan H	Close Ended Capital Protection Oriented Fund	-	-	-	-	Withdrawn (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
2	ICICI Prudential Capital Protection Oriented Fund IV Plan A & C	Close Ended Capital Protection Oriented Fund	-	-	-	-	1) CARE AAAMfs (SO) (5-Jul-18) 2)Withdrawn (14-Feb-19)	CARE AAAMfs (SO) (26-Jul-17)
3	ICICI Prudential Capital Protection Oriented Fund IV Plan D, F & G	Close Ended Capital Protection Oriented Fund	-	-	-	1)Withdrawn (23-Apr-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
4	ICICI Prudential Capital Protection Oriented Fund V Plan A & C	Close Ended Capital Protection Oriented Fund	-	-	-	1)CARE AAAMfs (SO) (26-Jun-19) 2)Withdrawn (23-Apr-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
5	ICICI Prudential Capital Protection Oriented Fund VI Plan A	Close Ended Capital Protection Oriented Fund	-	-	-	1) Withdrawn (27-Aug-19) 2) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
6	ICICI Prudential Capital Protection Oriented Fund VI Plan C	Close Ended Capital Protection Oriented Fund	-	-	-	1) Withdrawn (23-Oct-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
7	ICICI Prudential Capital Protection Oriented Fund VII Plan A	Close Ended Capital Protection Oriented Fund	-	-	-	-	Withdrawn (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
8	ICICI Prudential Capital Protection Oriented Fund VII Plan B	Close Ended Capital Protection Oriented Fund	-	-	-	-	1) CARE AAAMfs (SO) (5-Jul-18) 2)Withdrawn (11-Dec-18)	CARE AAAMfs (SO) (26-Jul-17)
9	ICICI Prudential Capital Protection Oriented Fund VII Plan C, E, F, G &	Close Ended Capital Protection Oriented Fund	-	-	-	-	1)CARE AAAMfs (SO) (5-Jul-18) 2)Withdrawn (14-Feb-19)	CARE AAAMfs (SO) (26-Jul-17)

Sr. No	Name of the Instrument / Bank Facilities	Current Ratings			Rating History			
		Type	Amount Outstanding (Rs. Crore)	Rating	Date(s) & Rating(s) assigned in 2020-21	Date(s) & Rating(s) assigned in 2019-20	Date(s) & Rating(s) assigned in 2018-19	Date(s) & Rating(s) assigned in 2017-18
	H							
10	ICICI Prudential Capital Protection Oriented Fund VII Plan D	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (04-May-20)	1) CARE AAAmfs (SO) (26-Jun-19)	CARE AAAmfs (SO) (5-Jul-18)	CARE AAAmfs (SO) (26-Jul-17)
11	ICICI Prudential Capital Protection Oriented Fund VIII Plan B	Close Ended Capital Protection Oriented Fund	-	-	-	-	Withdrawn (5-Jul-18)	CARE AAAmfs (SO) (26-Jul-17)
12	ICICI Prudential Capital Protection Oriented Fund VIII Plan A	Close Ended Capital Protection Oriented Fund	-	-	-	Withdrawn (23-Apr-19)	1) CARE AAAmfs (SO) (5-Jul-18)	CARE AAAmfs (SO) (26-Jul-17)
13	ICICI Prudential Capital Protection Oriented Fund VIII Plan C, D	Close Ended Capital Protection Oriented Fund	-	-	-	-	1) CARE AAAmfs (SO) (5-Jul-18) 2)Withdrawn (11-Dec-18)	CARE AAAmfs (SO) (26-Jul-17)
14	ICICI Prudential Capital Protection Oriented Fund VIII Plan I & J	Close Ended Capital Protection Oriented Fund	-	-	-	1) Withdrawn (26-Jun-19)	1)CARE AAAmfs (SO) (5-Jul-18)	CARE AAAmfs (SO) (26-Jul-17)
15	ICICI Prudential Capital Protection Oriented Fund VIII Plan E, G & H	Close Ended Capital Protection Oriented Fund	-	-	-	-	1)CARE AAAmfs (SO) (5-Jul-18) 2)Withdrawn (14-Feb-19)	CARE AAAmfs (SO) (26-Jul-17)
16	ICICI Prudential Capital Protection Oriented Fund VIII Plan F	Close Ended Capital Protection Oriented Fund	-	-	-	-	1)CARE AAAmfs (SO) (5-Jul-18) 2)CARE AAAmfs (SO) (8-Aug-18) 3)Withdrawn (14-Feb-19)	CARE AAAmfs (SO) (26-Jul-17)
17	ICICI Prudential Capital Protection Oriented Fund IX Plan A, B	Close Ended Capital Protection Oriented Fund	-	-	-	Withdrawn (23-Apr-19)	CARE AAAmfs (SO) (5-Jul-18)	CARE AAAmfs (SO) (26-Jul-17)
18	ICICI Prudential Capital Protection Oriented Fund IX	Close Ended Capital Protection Oriented Fund	-	-	-	1) Withdrawn (27-Aug-19)	CARE AAAmfs (SO) (5-Jul-18)	CARE AAAmfs (SO) (26-Jul-17)

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		Type	Amount Outstanding (Rs. Crore)	Rating	Date(s) & Rating(s) assigned in 2020-21	Date(s) & Rating(s) assigned in 2019-20	Date(s) & Rating(s) assigned in 2018-19	Date(s) & Rating(s) assigned in 2017-18
	Plan C							
19	ICICI Prudential Capital Protection Oriented Fund IX Plan F	-	-	-	-	1) Withdrawn (27-Aug-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
20	ICICI Prudential Capital Protection Oriented Fund IX Plan D, E	-	-	-	-	1) Withdrawn (22-Jan-2020) 2) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
21	ICICI Prudential Capital Protection Oriented Fund IX Plan G-J	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
22	ICICI Prudential Capital Protection Oriented Fund X Plan A	Close Ended Capital Protection Oriented Fund	-	-	-	1) Withdrawn (22-Jan-2020) 2) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
23	ICICI Prudential Capital Protection Oriented Fund X Plan B-H	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
24	ICICI Prudential Capital Protection Oriented Fund XI Plan A	Close Ended Capital Protection Oriented Fund	-	CARE AAAMfs (SO)	1) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
25	ICICI Prudential Capital Protection Oriented Fund XI Plan B	Close Ended Capital Protection Oriented Fund		-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
26	ICICI Prudential Capital Protection Oriented Fund XI Plan C-E	Close Ended Capital Protection Oriented Fund		Withdrawn	1) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
27	ICICI Prudential Capital Protection Oriented Fund XI Plan F-I	Close Ended Capital Protection Oriented Fund		-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
28	ICICI Prudential Capital	Close Ended Capital	-	Withdrawn	1) CARE AAAMfs (SO)	1) CARE AAAMfs (SO)	CARE AAAMfs (SO)	CARE AAAMfs (SO)

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	Protection Oriented Fund XII Plan A	Protection Oriented Fund			(7-Jul-20)	(26-Jun-19)	(5-Jul-18)	(26-Jul-17)
29	ICICI Prudential Capital Protection Oriented Fund XII Plan B	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
30	ICICI Prudential Capital Protection Oriented Fund XII Plan C	Close Ended Capital Protection Oriented Fund	-	CARE AAAMfs (SO)	1) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	CARE AAAMfs (SO) (26-Jul-17)
31	ICICI Prudential Capital Protection Oriented Fund XIII Plan A & B	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (5-Jul-18)	-
32	ICICI Prudential Capital Protection Oriented Fund XIV Plan A	Close Ended Capital Protection Oriented Fund	-	CARE AAAMfs (SO)	1) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (23-Jul-18)	-
33	ICICI Prudential Capital Protection Oriented Fund XIV Plan B	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (23-Jul-18)	-
34	ICICI Prudential Capital Protection Oriented Fund XV Plan A –D	Close Ended Capital Protection Oriented Fund	-	-	1) Withdrawn (14-Oct-2020) 2) CARE AAAMfs (SO) (7-Jul-20)	1) CARE AAAMfs (SO) (26-Jun-19)	CARE AAAMfs (SO) (31-Oct-18)	-

Annexure-3: Complexity level of various instruments rated for this company

Sr. No.	Name of the instrument	Complexity Level
1.	Capital Protection Oriented Fund	Complex

Note on complexity levels of the rated instrument: CARE has classified instruments rated by it on the basis of complexity. This classification is available at www.careratings.com. Investors/market intermediaries/regulators or others are welcome to write to care@careratings.com for any clarifications.

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About CARE Ratings:

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